

**SYNNESTVEDT & LECHNER LLP****FAX Transmission**

2600 ARAMARK Tower  
1101 Market Street  
Philadelphia, PA 19107-2934

Phone: (215) 923-4466

FAX: (215) 923-2189

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To: John L. Anderson

From: Gary A. Hecht

Re: U.S. Appln. No. 09/856,384

S&L File No.: P-25,096 USA

A total of 6 pages, including this cover sheet, will be transmitted.

Confirmation copy sent: ☐ Yes ☒ No

Name of Operator: Patricia M. Frisoli

Date sent: December 20, 2001

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CERTIFICATE OF TRANSMISSION BY FACSIMILE (37 CFR 1.8)			Docket No.
Applicant(s): K. Motegi & S. Oshikawa			P-25,096 USA
Serial No. 09/856,384	Filing Date May 21, 2001	Examiner	Group Art Unit
Invention: OPTICAL APPARATUS, EXPOSURE APPARATUS, LASER LIGHT SOURCE, GAS SUPPLY METHOD, EXPOSURE METHOD, AND DEVICE MANUFACTURING METHOD			
<p>I hereby certify that this <u>Letter to John L. Anderson re international application number</u> (Identify type of correspondence)</p> <p>is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. <u>1-703-305-3230</u>)</p> <p>on <u>December 20, 2001</u> (Date)</p> <p><u>Patricia M. Frisoli</u> (Typed or Printed Name of Person Signing Certificate)</p> <p><u>Patricia M. Frisoli</u> (Signature)</p>			
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LAW OFFICES OF  
**SYNNESTVEDT & LECHNER LLP**  
2600 ARAMARK TOWER  
1101 MARKET STREET  
PHILADELPHIA, PA 19107-2950  
TELEPHONE (215) 923-4466  
FACSIMILE (215) 923-2189  
E-MAIL [synntech@synntech.com](mailto:synntech@synntech.com)  
[www.synntech.com](http://www.synntech.com)

December 20, 2001

Commissioner for Patents  
Washington, D.C. 20231

Attn: John L. Anderson

RE: Applicants: Kiyoshi MOTEKI & Satoru OSHIKAWA  
Application No.: 09/856,384  
Filed: May 21, 2001  
PCT Appln. No.: PCT/JP99/03985  
For: OPTICAL APPARATUS, EXPOSURE  
APPARATUS, LASER LIGHT SOURCE,  
GAS SUPPLY METHOD, EXPOSURE  
METHOD, AND DEVICE MANUFACTURING METHOD  
Attorney Docket No.: P-25.096

Sir:

This is further to the telephone conference of December 19, 2001, between John L. Anderson and the undersigned. As explained, the documents filed on May 21, 2001, and assigned U.S. Application No. 09/856,384, are for entry of the national phase of PCT application PCT/JP99/03985 and not PCT/JP00/03985 as indicated in the notification of missing parts issued 12/06/2001.

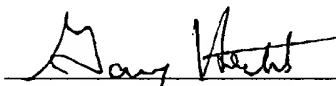
The Declaration, English translation of the application, and filing fee for PCT application PCT/JP99/03985 were timely filed. On the original transmittal letter, however, there was a typographical error listing PCT/JP00/03985.

SYNNESTVEDT & LECHNER LLP

Applicants: K. Motegi & S. Oshikawa  
Appln. No. 09/856,384  
Page 2

Accordingly, it is requested that the a Notice of Acceptance for entering the national phase be issued for PCT/JP99/03985. The Missing Parts requirement should be withdrawn. Also, the records of the PTO should be changed to reflect that the national phase for PCT/JP00/03985 was not entered by the filing of the documents pertaining to PCT/JP99/03985. A copy of the original transmittal sheet amended to show the correct PCT application number, is enclosed per Mr. Anderson's request.

Respectfully submitted,



Gary A. Hecht, Reg. No. 36,826  
SYNNESTVEDT & LECHNER LLP  
1101 Market Street  
2600 Aramark Tower  
Philadelphia, PA 19107-2950  
Tele: (215) 923-4466  
Fax: (215) 923-2189

GAH:pmf  
Enclosure

M:\GHecht\Onda Techno\25096\12-20-01GAH-PTO re number.wpd

FORM PTO-139U (Modified)  
(REV 11-2000)

U.S. DEPARTMENT OF

COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

## TRANSMITTAL LETTER TO THE UNITED STATES

DESIGNATED/ELECTED OFFICE (DO/EO/US)

CONCERNING A FILING UNDER 35 U.S.C. 371

P-25,055 USA

09/856384

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR

INTERNATIONAL APPLICATION NO.  
PCT/JP99/03985INTERNATIONAL FILING DATE  
26 July 1999 (26.07.99)PRIORITY DATE CLAIMED  
19 November 1998 (19.11.98)

## TITLE OF INVENTION

OPTICAL APPARATUS, EXPOSURE APPARATUS, LASER LIGHT SOURCE, GAS SUPPLY METHOD,  
EXPOSURE METHOD, AND DEVICE MANUFACTURING METHOD

## APPLICANT(S) FOR DO/EO/US

Kiyoshi MOTEGI and Satoru OSHIKAWA

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.
4. ☐ The US has been elected by the expiration of 19 months from the priority date (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
  - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
  - b. ☒ has been communicated by the International Bureau. (see form PCT/IB/308 dated 02 June 2000)
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. ☒ is attached hereto.
  - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
  - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
  - b. ☐ have been communicated by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)). executed 10 & 21 May 2001
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).
11. ☐ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☒ A copy of the International Search Report (PCT/ISA/210).

## Items 13 to 20 below concern document(s) or information included:

13. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☒ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A change of power of attorney and/or address letter.
19. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
20. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
21. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
22. ☒ Certificate of Mailing by Express Mail dated May 21, 2001 - Label No. EL288594580US
23. ☒ Other items or information:

-copy of cover sheet of International Publication No. WO 00/31780, published on 02 June 2000 (02.06.2000)

U.S. APPLICATION NO. (IF KNOWN, SEE

INTERNATIONAL APPLICATION NO.

ATTORNEY'S DOCKET NUMBER

PCT/JP99/03985

P-25,096 USA

24. The following fees are submitted:

**BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) :**

- ☐ Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO ..... \$1000.00
- ☒ International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO ..... \$860.00
- ☐ International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO ..... \$710.00
- ☐ International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) ..... \$690.00
- ☐ International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) ..... \$100.00

**ENTER APPROPRIATE BASIC FEE AMOUNT =****\$860.00**

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).

**\$0.00**

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	
Total claims	37 - 20 =	17	x \$18.00	<b>\$306.00</b>
Independent claims	7 - 3 =	4	x \$80.00	<b>\$320.00</b>
Multiple Dependent Claims (check if applicable). <input type="checkbox"/>				<b>\$0.00</b>

**TOTAL OF ABOVE CALCULATIONS =****\$1,486.00**

- ☐ Applicant claims small entity status. (See 37 CFR 1.27). The fees indicated above are reduced by 1/2.

**\$0.00****SUBTOTAL =****\$1,486.00**

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).

**\$0.00****TOTAL NATIONAL FEE =****\$1,486.00**

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) (check if applicable). ☒

**\$40.00****TOTAL FEES ENCLOSED =****\$1,526.00**

Amount to be:  
refunded \$  
charged \$

- a. ☒ A check in the amount of **\$1,526.00** to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. \_\_\_\_\_ in the amount of \_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. **19-5425**. A duplicate copy of this sheet is enclosed.
- d. ☐ Fees are to be charged to a credit card. **WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

**NOTE:** Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Gary A. Hecht  
SYNNESTVEDT & LECHNER LLP  
1101 Market Street  
2600 Aramark Tower  
Philadelphia, PA 19107-2950

Tele: (215) 923-4466  
Facsimile: (215) 923-2189  
E-mail: ghecht@synnlechn.com

SIGNATURE

Gary A. Hecht

NAME

36,826

REGISTRATION NUMBER

May 21, 2001

DATE

\*\*\*\*\*  
\*\*\* RX REPORT \*\*\*  
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RECEPTION OK

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RESULT	OK